

**State:** Indiana **Filing Company:** Indiana Compensation Rating Bureau  
**TOI/Sub-TOI:** 16.0 Workers Compensation/16.0002 Employers Liability WC  
**Product Name:** 01-IN-2019  
**Project Name/Number:** Revisions to Indiana Experience Rating Plan Manual Rule 1-D-4/01-IN-2019

## Filing at a Glance

Company: Indiana Compensation Rating Bureau  
Product Name: 01-IN-2019  
State: Indiana  
TOI: 16.0 Workers Compensation  
Sub-TOI: 16.0002 Employers Liability WC  
Filing Type: Rule  
Date Submitted: 02/12/2019  
SERFF Tr Num: INCR-131823329  
SERFF Status: Closed-Filed  
State Tr Num: EFT OK /  
State Status:  
Co Tr Num: 01-IN-2019  
Co Status:  
Effective Date: On Approval  
Requested (New):  
Effective Date: On Approval  
Requested (Renewal):  
Author(s): Robin Eleson  
Reviewer(s): Richard Beverage (primary), Kim Green  
Disposition Date: 02/15/2019  
Disposition Status: Filed  
Effective Date (New):  
Effective Date (Renewal):

**State:** Indiana **Filing Company:** Indiana Compensation Rating Bureau  
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**Project Name/Number:** Revisions to Indiana Experience Rating Plan Manual Rule 1-D-4/01-IN-2019

## General Information

Project Name: Revisions to Indiana Experience Rating Plan Manual Rule 1-D-4      Status of Filing in Domicile: Pending  
 Project Number: 01-IN-2019      Domicile Status Comments:  
 Reference Organization:      Reference Number:  
 Reference Title:      Advisory Org. Circular: NCCI Circular IN-2019-01  
 Filing Status Changed: 02/15/2019      Company Status Changed:  
 State Status Changed:      Deemer Date:  
 Created By: Robin Eleson      Submitted By: Robin Eleson  
 Corresponding Filing Tracking Number:

### Filing Description:

This item revises the Indiana exception to Rule 1-D-4 in NCCI's Experience Rating Plan Manual for Workers Compensation and Employers Liability Insurance (Experience Rating Plan Manual).

## Company and Contact

### Filing Contact Information

Ron Cooper, President      rcooper@icrb.net  
 5920 Castleway W Dr      317-842-2800 [Phone] 301 [Ext]  
 Indianapolis, IN 46250

### Filing Company Information

Indiana Compensation Rating Bureau	CoCode:	State of Domicile: Indiana
5920 Castleway W Dr	Group Code:	Company Type: Rating Organization
Indianapolis, IN 46250	Group Name: ICRB	State ID Number:
(317) 842-2800 ext. 301[Phone]	FEIN Number: 35-0837318	

## Filing Fees

Fee Required?      Yes  
 Fee Amount:      \$35.00  
 Retaliatory?      No  
 Fee Explanation:  
 Per Company:      Yes

Company	Amount	Date Processed	Transaction #
Indiana Compensation Rating Bureau	\$35.00	02/12/2019	155465522

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## Correspondence Summary

### Dispositions

Status	Created By	Created On	Date Submitted
Filed	Richard Beverage	02/15/2019	02/15/2019

State: Indiana Filing Company: Indiana Compensation Rating Bureau  
TOI/Sub-TOI: 16.0 Workers Compensation/16.0002 Employers Liability WC  
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## Disposition

Disposition Date: 02/15/2019

Effective Date (New):

Effective Date (Renewal):

Status: Filed

Comment:

Rate data does NOT apply to filing.

Schedule	Schedule Item	Schedule Item Status	Public Access
Supporting Document	80 Filing Description/Cover Letter/NAIC Transmittal		Yes
Supporting Document	Third Party Filers		Yes
Supporting Document	01-IN-2019 Filing Memorandum		Yes

**SERFF Tracking #:**

INCR-131823329

**State Tracking #:**

EFT OK /

**Company Tracking #:**

01-IN-2019

**State:**

Indiana

**Filing Company:**

Indiana Compensation Rating Bureau

**TOI/Sub-TOI:**

16.0 Workers Compensation/16.0002 Employers Liability WC

**Product Name:**

01-IN-2019

**Project Name/Number:**

Revisions to Indiana Experience Rating Plan Manual Rule 1-D-4/01-IN-2019

## Supporting Document Schedules

<b>Satisfied - Item:</b>	80 Filing Description/Cover Letter/NAIC Transmittal
<b>Comments:</b>	Item 01-IN-2019 - Revisions to Indiana Experience Rating Plan Manual Rule 1-D-4
<b>Attachment(s):</b>	
<b>Item Status:</b>	
<b>Status Date:</b>	

<b>Satisfied - Item:</b>	Third Party Filers
<b>Comments:</b>	Per IC 27-7-2-3 through IC 27-7-2-4, the Bureau has statutory authority to file rates, rules, plans and forms on behalf of all workers compensation insurance companies in Indiana.
<b>Attachment(s):</b>	
<b>Item Status:</b>	
<b>Status Date:</b>	

<b>Satisfied - Item:</b>	01-IN-2019 Filing Memorandum
<b>Comments:</b>	
<b>Attachment(s):</b>	Item 01-IN-2019 - Revisions to Indiana Experience Rating Plan Manual Rule 1-D-4.pdf
<b>Item Status:</b>	
<b>Status Date:</b>	

FILING MEMORANDUM

ITEM 01-IN-2019—REVISIONS TO INDIANA EXPERIENCE RATING PLAN MANUAL RULE 1-D-4

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**PURPOSE**

This item revises the Indiana exception to Rule 1-D-4 in NCCI's *Experience Rating Plan Manual for Workers Compensation and Employers Liability Insurance (Experience Rating Plan Manual)*.

**BACKGROUND**

Indiana Code (IC) 27-7-2-35 requires that records must be open to any bureau member or its authorized representative for risks described by IC 27-7-2-29. The Indiana Compensation Rating Bureau (ICRB) has interpreted that experience rating worksheets fit within the statutory intent of information that must be open to carriers and producers.

Per ICRB policy, records must also be open to any bureau member or its authorized representative for public entities (municipalities).

Indiana's exception to *Experience Rating Plan Manual* Rule 1-D-4 currently states that the risk must provide written authorization for experience rating worksheet requests from parties that are not the carrier of record or producer of record. This Indiana exception must be revised to state that the risk's written authorization is not required for carriers or producers requesting experience rating worksheets for intrastate risks that are currently insured in the residual market or for public entities (municipalities).

**PROPOSAL**

This item proposes to revise the Indiana exception to *Experience Rating Plan Manual* Rule 1-D-4 to meet the requirements provided in IC 27-7-2-35 and comply with ICRB policy.

**IMPACT**

No statewide premium impact will result from the changes proposed in this item.

**EXHIBIT COMMENTS AND IMPLEMENTATION SUMMARY**

Exhibit	Exhibit Comments	Implementation Summary
1	Details the revisions to Indiana's exception to Rule 1-D-4 in NCCI's <i>Experience Rating Plan Manual</i> .	Effective upon approval.

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EXHIBIT 1  
EXPERIENCE RATING PLAN MANUAL—2003 EDITION  
INDIANA RULE EXCEPTIONS  
RULE 1—GENERAL EXPLANATIONS

**D. ADMINISTRATION**

Change Rule 1-D-4 as follows:

4. Any risk qualifying for an experience rating modification factor will, upon request to the Indiana Compensation Rating Bureau (ICRB), be provided with a copy of their its experience rating modification worksheet. The carrier of record and producer of record are provided access to the experience rating worksheet. Additional parties may be allowed access to the experience rating worksheet if authorized in writing by the risk. For intrastate risks only, a letter of authority is not required for carriers and producers requesting experience rating worksheets for:

- Risks that are currently insured in the residual market
- Public entities (municipalities)